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The response surface methodology was employed to optimize the integrated extraction parameters of cordycepic acid and cordycepin 9 10 from cultured Cordyceps militaris (L.) link based on a single-factor experiment. The Box-Behnken design with three independent variables 11 *i.e.*, microwave power (W), water/material ratio (mL/g) and extraction time (min) was used. The experimental data obtained were fitted to 12 a second-order polynomial equation using multiple regression analysis. The three-dimensional response surface plot and contour plot 13 derived from the mathematical models were applied to determine the optimal conditions. The optimum extraction condition was obtained 14 as follows: microwave-assisted extraction, microwave power of 649.33 W, extraction time of 5.74 min, water/material ratio of 38. 99 mL/g 15 and extraction number of three. The yield of cordycepic acid and cordycepin were 2.47 and 0.79 %, respectively. Under these conditions, the experimental values of 3.12 and 0.75 % well agreed with those predicted by the model. 16

17 Key Words: Cordyceps militaris, Cordycepin, Cordycepic acid, Microwave-assisted extraction, Reponse surface methodology.

INTRODUCTION

18 Cordyceps militaris is an edible and medicinal fungus that 19 belongs to Clavicipitaceae family. Recent studies have indi-20 cated that C. militaris has both special nutritional and obvious medicinal value^{1,2}. C. militaris has various pharmacological 21 22 activities attributed to polysaccharide and cordycepin contents³. 23 Cordycepin (3'- deoxyadebosine) inhibits DNA and RNA syn-24 theses, enhances cell differentiation, as well as exhibits anti-25 tumor, antifungal and antibacterial activities⁴. Cordycepic acid 26 has antihepatic fibrosis, antilipid peroxidation and antibacterial 27 effects⁵.

28 In recent years, C. militaris has attracted considerable 29 attention. It has been extensively cultivated and developed in 30 many areas. A large number of drugs and health foods have been marketed, although most of them lack market compe-31 32 titiveness⁵. Therefore, the active components in the products 33 of C. militaris must be improved to ensure their steady and 34 sustainable development. Currently, domestic and international 35 enterprises and research institutes use single-component 36 extraction methods for extracting the effective components of C. militaris, which limit the potential and orientation of the 37 38 deeply processed products of C. militaris. Therefore, studies on the integrated extraction process of active components from39C. militaris and the improvement of their yield have important40economic value and cover a wide potential application market.41

The microwave-assisted, ultrasonic, refluxing and Soxhlet 42 extraction methods are currently used to extract cordycepin⁶. 43 The microwave-assisted and ultrasonic extraction methods are 44 used to extract cordycepic acid⁷. In recent years, the micro-45 wave-assisted extraction method has been used to extract 46 active components from C. militaris because it is fast, energy 47 saving, solvent saving and causes little pollution⁸. Some 48 researchers have studied the improvement and optimization 49 of integrated extraction processes for cordycepic acid and 50 cordycepin. However, the optimum process was obtained under 51 single-factor and orthogonal experiments⁷⁻¹⁰. The response 52 surface methodology (RSM) is an effective method for optimi-53 zing process conditions. The RSM can determine the relation-54 ship between one or multiple response variables and a series 55 of tests variables, indentifying the impact of experimental 56 factors and their interaction on the indicator response in the 57 process and accurately describing the relationship between 58 the factors and response values¹¹. In present study, the inte-59 grated extraction process of cordycepic acid and cordycepin 60 in cultured C. militaris were optimized using the RSM. The 61

62 experimental data were analyzed by solving the regression

63 equation with design expert software to provide reliable tech-

64 nical parameters and theoretical foundation for commercial

65 processes.

EXPERIMENTAL

Cultured C. militaris was obtained from the Xining 66 67 Shifeng Bioengineering Corporation, Xining, Qinghai Province, China. The content of cordycepic acid and cordycepin 68 in the samples were 7.193 and 1.336 %, respectively. The 69 material was identified at the Institute of Microbiology Chinese 70 71 Academy of Sciences, Beijing, China. Cordycepin and mannitol 72 standards were purchased from the National Institutes for Food 73 and Drug Control. All solvents were (high-performance liquid 74 chromatogprahy grade) and purchased from Beijing Chemical 75 Corporation (Beijing, China). All other chemicals were analytical grade and from Yuwang Regents Corporation (Shandong, 76 77 China), unless otherwise stated.

78 **Preparation of samples:** The fruiting bodies of C. 79 millitaris were ground in a blender to obtain a fine powder 80 (60-mesh size screen) after drying at 60 °C. The powder was defatted by Soxhlet extraction with *n*-hexane as the solvent. 81 The defatted power was placed at room temperature over-night 82 83 to allow the release of residual n-hexane and then packaged and stored in the dark at room temperature until used^{9,14}. Subse-84 85 quently, 10 g of defatted powder was immersed into the extraction solution containing 300 mL distilled water and the 86 extracted in the microwave oven (NJL07-3, China) at 50 °C 87 for 4 min (Fig. 1). The sample extraction procedure was 88 89 repeated thrice. After cooling the filtrates, the filtrate was com-90 bined and concentrated to constant volume with a rotary evapo-91 rator at 60 °C under vacuum. The concentrated filtrate was 92 precipitated with acetone. The acetone supernatant was decanted 93 and the precipitate was collected by centrifugation, dried in *vacuo*, dissolved in distilled water^{9,14}. The aqueous solution 94 95 was precipitated two times with acetone. This procedure was 96 repeated thrice and the final precipitate was dissolved in distilled 97 water. All acetone supernatants were combined together and 98 settled to constant volume. The precipitate was used to deter-99 mine the cordycepic acid content by colorimetry and the 100 acetone supernatant was used for HPLC analysis.



Fig. 1 Microwave equipment

Determination of cordycepic acid content: The cordycepic 101 acid content was determined using the colorimetry method. 102 About 0.5 mL of appropriately diluted sample was mixed with 103 1 mL of potassium periodate solution, which was allowed to 104 stand at room temperature for 10 min. Subsequently, 2 mL of 105 L-rhamnose solution was added to the mixture. The freshly 106 prepared NASH reagents (150 g ammonium acetate + 2 mL 107 glacial acetic acid + 2 mL acetylacetone) were added to the 108 mixture after vigorous shaking. The mixture was placed in a 109 35 °C water bath for 15 min and then cooled rapidly to room 110 temperature. The absorbance of the mixture was measured at 111 415 nm against a reagent blank (0.5 mL of distilled water 112 instead of the sample) using a UV-visible spectrophotometer 113 (Shimadzu UV-1800, Kyoto, Japan). A standard curve was 114 prepared using mannitol and the linear regression equation 115 $A = 0.008C + 0.0203, R^2 = 0.9995$ 116

linear range equal to 10 to 50 μ g/mL. The percentage 117 cordycepic acid extraction yield (%) was calculated as the 118 cordycepic acid content of extraction divided by dried sample 119 weight (10 g). 120

HPLC assay of cordycepin content: Cordycepin was 121 determined by HPLC according to a reported procedure¹³. 122 HPLC analysis was performed on an Agilent1200 liquid chroma- 123 tography system (Agilent Technologies, USA), equipped with 124 a vacuum degasser, four single solvent delivery pumps, a 125 thermostatted column compartment, a 20 µL sample loop 126 manual injector and a diode-array detector. The HPLC condi- 127 tions were as follows: column, Agilent symmetry C₁₈ (250 mm 128 \times 4.6 mm, 5 µm particle size); mobile phase, a mixture of 129 methanol and water (12:88, v/v); flow rate, 0.8 mL/min; UV 130 detection wavelength at 260 nm and injection amount, 10 µL. 131 The samples were filtered through a 0.45 µm membrane filter 132 before injection. The detected peak was identified by comparing 133 the retention times with the standard. Quantitative analysis 134 was determined using the peak area based on the standard 135 curves. A standard curve was prepared and the linear regression 136 137 equation

$$A = 35115C - 16.898, R^2 = 0.9996$$
 138

linear range equal to 0.50×10^{-2} - 3.50×10^{-2} µg/mL. The 139 percentage cordycepin extraction yield (%) was calculated as 140 the cordycepicn content of extraction divided by dried sample 141 weight (10 g). 142

Single-factor experiment: In this study, single-factor 143 experiment was applied to select the appropriate extraction 144 conditions (extraction methods, extraction number, microwave 145 power, water/material ratio and time) for the extraction of 146 cordycepic acid and cordycepin from cultured C. millitaris. 147 Ultrasonic assisted extraction and microwave-assisted extrac- 148 tion methods were used to determine the optimal method for 149 extracting cordycepic acid and cordycepin from cultured C. 150*militaris*. The defatted powder (1 g) was immersed into the 151 extraction solution containing 30 mL distilled water and 152 extracted with ultrosonic treatment (100 W) for 0.5 h at 60 °C. 153 10 g of defatted powder was immersed into the extraction 154 solution containing 300 mL distilled water and extracted with 155 microwave treatment (500 W) for 4 min. The second step of 156 the single-factor experiment was to determine the effect of 157 the number of extraction on the yields of cordycepic acid and 158

TABLE-1								
EFFECT OF DIFFERENT EXTRACTION METHODS ON CORDYCEPIC ACID AND CORDYCEPIN YIELD OF C. militaris								
Extraction method	Sample quantity	Extraction time (min)	Ratio of solution to solid (mL/g)	Yield (%)				
	(g)			Cordycepic acid	Cordycepin			
UAE	10.0	30	30	2.35	0.65			
MAE	10.0	4	30	2.67	0.74			

160 cordycepin. The final step was to evaluate the appropriate microwave power, water/material ratio and duration of extrac-161 162 tion. All single-factors were repeated thrice.

163 Experimental design: After determining the preliminary 164 range of extraction variables via single-factor experiments, the RSM was applied to identify the optimum levels of three 165 166 variables *i.e.*, microwave power (W), extraction time (min) and water/material ratio (mL/g) for obtaining the best yields 167 168 of cordycepic acid and cordycepin from the cultured C. militaris extracts. The independent variables used in the RSM 169 170 design are listed in Table-2. The range and central point values 171 of microwave power (x_1) , time (x_2) and water/material ratio (x₃) were selected based on the single-factor experimental 172 173 results. The experiments had a Box-Behnken design (BBD) with three central points as shown in Table-3. Experimental 174 175 runs were randomized to minimize the effects of unexpected

176 variability in the observed responses.

TABLE-2								
UNCODED AND CODED LEVELS OF INDEPENDENT								
VARIABLES USED IN THE RSM DESIGN								
Sumbolo	Independent verichles	Coded levels						
Symbols	Independent variables	-1	0	1				
X ₁	Microwave power (w)	300	500	700				
X ₂	Extraction time (min)	2	4	6				
X3	Water/material ratio (mL/g)	20	35	50				

177 The variables were coded according to the following 178 equation:

179
$$\mathbf{x} = \left(\frac{(\mathbf{X}_{i} - \mathbf{X}_{o})}{\Delta \mathbf{X}}\right)$$

180 where x is the coded value, X_i is the corresponding actual 181 value, X_{o} is the actual value in the centre of the domain and

 ΔX is the increment of X_i corresponding to a variation of one 182 unit of x. A second-order polynomial equation was used to 183 express the responses as a function of the independent variables 184 as follows: 185

 $Y = \beta_0 + \beta_1 x_1 + \beta_2 x_2 + \beta_3 x_3 + \beta_{12} x_1 x_2 + \beta_{13} x_1 x_3 + \beta_{23} x_2 x_3$ 186 $+ \beta_{11} x^2$

$$^{2}_{1} + \beta_{22} x^{2}_{2} + \beta_{33} x^{2}_{3}$$
 (2) 187

where Y represents the measured response variables, β_0 is a 188 constant and β_1 , β_2 , β_3 , β_{12} , β_{13} , β_{23} , β_{11} , β_{22} and β_{33} are the 189 linear, quadratic and interactive coefficients of the equation, 190 x_1 , x_2 and x_3 are the levels of the independent variables. Analysis 191 of the experimental design data and calculation of the predic-192 ted responses were carried out using Design Expert software 193 (Version 7.0). Additional confirmation experiments were 194 195 subsequently conducted to verify the validity of the statistical experimental design. 196

RESULTS AND DISCUSSION

Single-factor experiment: To determine the optimal 197 method for extracting cordycepic acid and cordycepin, ultra-198 sonic water and microwave-assisted extraction methods were 199 employed. As shown in Table-1, the two methods adequately 200 extracted cordycepic acid and cordycepin. The extraction yields 201 of cordycepic acid and cordycepin by microwave-assisted were 202 better than that by ultrasonic water extraction. Microwave assisted 203 extraction is a relatively new method and is receiving increasing 204attention as an alternative to current methods. Microwave 205 assisted extraction can greatly reduce the extraction time for 206 the same level of extraction, the quantity of solvent is less and 207 the processing time is shorter. The high efficiency of microwave 208 assisted extraction found in this work was suggested to be 209 because the cells of *C. militaris* were broken by the microwave 210 radiation, so that cordycepic acid and cordycepin dissolved 211

EXPERIMENTAL DESIGN AND RESPONSES OF THE DEPENDENT VARIABLES TO THE EXTRACT PARAMETERS								
Number	Micro-wave power	Time X2 (min)	Water/material ratio	Yield (%)				
Number	X1 (W)		X3 (mL/g)	Cordycepic acid (Y1)	Cordycepin (Y2)			
1	500	2	50	1.27	0.45			
2	500	4	35	2.95	0.71			
3	500	4	35	3.25	0.70			
4	700	2	35	1.89	0.58			
5	500	6	50	1.60	0.53			
6	300	4	20	2.19	0.09			
7	300	6	35	2.09	0.17			
8	700	4	50	1.63	0.74			
9	500	4	35	3.21	0.69			
10	500	4	35	3.05	0.70			
11	700	4	20	3.47	0.48			
12	300	4	50	1.32	0.18			
13	300	2	35	0.92	0.21			
14	500	2	20	2.57	0.35			
15	700	6	35	2.40	0.76			
16	500	4	35	3.13	0.70			
17	500	6	20	3.59	0.34			

TABLE 3

(1)

212 more easily in the solvent. Therefore, microwave-assisted213 extraction was the optimal method for extracting cordycepic214 acid and cordycepin.

215 Fig. 2 shows the effect of the number of extraction on the 216 yield of cordycepic acid and cordycepin with 500 W microwave power, 3 min extraction time and 20 mL/g water/material ratio. 217 218 The yield of cordycepic acid reached the maximum value after two times of extraction and then became constant. The yield 219 220 of cordycepin reached the maximum value after three times of extraction, but decreased with increased extraction times. 221 222 Therefore, three extraction times was selected as the optimal 223 number of extraction for microwave-assisted extraction.





Fig. 2. Influence of extraction number, microwave power, water/material ratio and extraction time on the extraction of cordycepic acid and cordycepin from defatted powder

The effect of microwave power on the extraction yield 224 was shown in Fig. 2. The microwave power was changed from 225 100-700 W and other extraction variables were set as follows: 226 20 mL/g water/material ratio, 3 min extraction time and three 227 extraction times. The yield of cordycepic acid increased with 228 increased microwave power from 200 to 500 W and then 229 became constant. The possible reason for this result may be 230 the complex effect of the following two aspect: firstly, the 231 degree of disruption of the cell membrane was increased with 232

233 increasing the power and e the electric field intensity; secondly, 234 the microwave has selective heating effect on the water-soluble 235 polar compounds, therefore the yield of cordycepic acid and 236 cordycepin increased with the increase of microwave power. 237 The yields of cordycepic acid and cordycepin extracted 238 using different water/material ratios from 20 to 60 L/g are 239 shown in Fig. 2. The microwave power, extraction time and 240 extraction times were fixed at 700 W, 3 min and three extraction 241 times, respectively. The extraction yields of cordycepic acid 242 and cordycepin increased with the ratio until 30 mL/g and 243 then began to decrease. The possible reason for this pheno-244 menon may be that the loss of cordycepic acid and cordycepin 245 were increased during the concentration process, because the 246 dissolution of other impurities with a large quantity of water. 247 The extraction time is another factor that influences the 248 extraction yield. With increased extraction time increased from 249 2 to 6 min, the other experimental conditions were as follows: 700 W microwave power, 30 mL/g water/material ratio and 250 251 three extraction times. The extraction yield increased with 252 increased time from 2 to 6 min, as shown in Fig. 2. A longer 253 extraction time indicated a positive effect on the extraction 254 yield, but the yield increased slightly. This phenomenon may

be due to the active ingredients will not be dissolved when the solubility of dissolving-out substances became saturated with the increase of extraction time, while the loss of cordycepic acid and cordycepin were increased with the viscocity of extracts increased when extraction time increased. Therefore, the time range of 2 to 6 min was selected as optimal in the present experiment considering that it is cost-saving.

According to the single-parameter study, we adopted microwave-assisted extraction, 300-700 W microwave power, 20-50 mL/g water/material ratio, 2-6 min extraction time and three extraction times for the response surface methodology experiment.

Optimization of the extraction procedure by the response 266 surface methodology 267

Fitting the models: The yields of cordycepic acid (Y₁) 268 and cordycepin (Y_2) in cultured *C. militaris* extracts obtained 269 from all the experiments are listed in Table-3. Table-4 shows 270 the results of fitting quadratic models to the data. ANOVA 271 indicated that the contribution of the quadratic model was 272 significant. The fitted quadratic models for cordycepic acid 273 and cordycepin in coded variables are given in eqns. 3 and 4, 274 respectively. The significance of each coefficient was deter-275 mined using the F-test and p-value (Tables 4 and 5). For all 276 terms in the model, a large regression F-value and a small 277 *p*-value indicate a more significant effect on the respective 278 response variables. A lack of fit is also given in Table-4 to 279 check the quality of the fitted models. In Table-5 the linear 280 coefficients (X_1, X_2, X_3), a quadratic term coefficient (X_1^2, X_2^2 , 281 X_{3}^{2}) and the interaction coefficient ($X_{1}X_{3}$) were found signi-282 ficant (p < 0.01). There was no significance in the lack of fit 283 (p > 0.05) in each of the two models, indicating that the models 284 can be used to predict the responses. 285

Response surface analysis (RSA) of cordycepic acid: 286 The response surface analysis data are given in Table-3, which 287 that the relationship between the cordycepic acid yield and 288 extraction parameters was quadratic with a good regression 289 coefficient ($R^2 = 0.9980$). The value of the determination 290coefficient Adi-R (0.9955) suggests that only 0.45 % of the 291 total variations are not explained by the model. Eqn. 3 shows 292 the relationship between the cordycepic acid yield and extrac-293 294 tion parameters.

$$Y = 3.12 + 0.36x_1 + 0.38x_2 - 0.75x_3 - 0.17x_1x_2 - 0.24x_1x_3 \qquad 295 \\ -0.17x_2x_3 - 0.70x_1^2 - 0.60x_2^2 - 0.27x_3^2 \qquad (3) \qquad 296$$

The effects of microwave power, extraction time and 297 water/material ratio, on the yield of cordycepic acid, as well 298

TABLE-4										
ANANLYSIS OF VARIANCE FOR THE RESPONSE SURFACE QUADRATIC										
	MODEL FOR THE CORDYCEPIC ACID AND CORDYCEPIN YIELD OF C. militaris									
Courses	Cordycepic acid Cordycepin									
Source	DF	SS	F-value	<i>p</i> -Value	SS	F-Value	<i>p</i> -Value			
Model	9	11.36	70.63	< 0.0001	0.84	395.98	< 0.0001			
Residual	7	0.13			0.002					
Lack of fit	3	0.065	1.44	0.3551	0.001	5.27	0.0710			
Pure error	4	0.06			0.0003					
Cor total	or total 16 11.49 0.84									
-	$- R^2 = 0.9980 \text{ Adj-}R^2 = 0.9955 \qquad R^2 = 0.9891 \text{ Adj-}R^2 = 0.9751$									

DF: Degree of freedom; SS: sum of squares

TABLE-5	
TEST OF SIGNIFICANCE FOR REGRESSION	COEFFICIENT

Model		Cordycepic acid yield					Cordycepin yield				
term	DF	Coefficient	Standard	95 % Cl	95 % Cl	Proh > F	Coefficient	Standard	95 % Cl	95 % Cl	Prob > F
will	estimate	error	low	high	1100 > 1	estimate	error	low	high	1100 > 1	
Intercept	1	3.12	0.06	2.98	3.26		0.7	0.007	0.68	0.72	-
\mathbf{X}_1	1	0.36	0.047	0.25	0.47	0.0001	0.24	0.005	0.23	0.25	< 0.0001
\mathbf{X}_2	1	0.38	0.047	0.27	0.49	< 0.0001	0.025	0.005	0.012	0.038	0.0024
X ₃	1	-0.75	0.047	-0.86	-0.64	< 0.0001	0.079	0.005	0.067	0.09	< 0.0001
$\mathbf{X}_1 \mathbf{X}_2$	1	-0.17	0.067	-0.32	-0.007	0.0429	0.055	0.008	0.037	0.073	0.0002
$X_1 X_3$	1	-0.24	0.067	-0.4	-0.082	0.0089	0.04	0.008	0.022	0.058	0.0013
$X_2 X_3$	1	-0.17	0.067	-0.33	-0.014	0.0368	0.023	0.008	0.005	0.041	0.0205
X_{1}^{2}	1	-0.7	0.065	-0.85	-0.54	< 0.0001	-0.16	0.007	-0.17	-0.14	< 0.0001
X_{2}^{2}	1	-0.6	0.065	-0.75	-0.44	< 0.0001	-0.11	0.007	-0.13	-0.1	< 0.0001
X_{3}^{2}	1	-0.27	0.065	-0.42	-0.12	0.0044	-0.17	0.007	-0.19	-0.15	< 0.0001

299 as their interactions, are shown in Fig. 3a-c. The results reveal 300 that the microwave power and water/material ratio had a signi-301 ficant positive linear effect on the yield of cordycepic acid (p 302 < 0.0001). The extraction time also clearly affected the yield 303 of cordycepic acid (p < 0.01). The effect of different microwave power on the extraction yield of cordycepic acid is shown 304 in Fig. 3a-b. The extraction yield of cordycepic acid continued 305 306 to increase with the increase of microwave power from 300 to 307 600 W and reached the peak value at 600 W. However, the 308 extraction yield of cordycepic acid no longer increased when



Fig. 3. Response surface plots (3-D) showing the effects of variables (X₁: microwave power; X₂: water/material ratio; X₃: extraction time) on the response Y₁ the microwave power exceeded 600 W. The extraction yield 309 of cordycepic acid affected by different extraction time is 310 shown in Fig. 3a-b. It showed that the extraction yield increased 311 as the extraction time ascended from 3 to 5 min, the maximum 312 yield of cordycepic acid was observed when the extraction 313 time was 5 min, after this point, the extraction yield of cordycepic 314 acid started to maintain a dynamic equilibrium with the 315 increasing of the extraction time. As shown in Fig. 3b-c, when 316 the water/material ratio was over 35 mL/g, the yield of cordycepic 317 acid decreased gradually with increased the ratio. 318

Response surface analysis of cordycepin: The response319surface analysis data are given in Table-4, which demonstrated320that the relationship between the cordycepin yield and extraction321parameters is quadratic with a good regression coefficient (\mathbb{R}^2 322= 0.9891). Eqn. 4 shows the relationship between the cordycepin323yield and extraction parameters.324

$$Y = 0.70 + 0.24x_1 + 0.025x_2 + 0.079x_3 + 0.055x_1x_2 + 0.040x_1x_3 325 + 0.023x_2x_3 - 0.16x_1^2 - 0.11x_2^2 - 0.17x_3^2$$
(4) 326

The 3-D response surface plot in Fig. 4a, give the extrac-327 tion yield of cordycepin as a function of extraction time and 328 microwave power, indicated that the extraction yield of cordycepin 329 increased with the increasing of the microwave power from 330 300 to 600 W, but beyond 600 W, the extraction yield of 331 cordycepin started to maintain a dynamic equilibrium with 332 the increasing of the extraction microwave power and the 333 extraction yield of cordycepin was found to increase rapidly 334 with the increase of extraction time from 2 to 5 min, then 335 decreased rapidly from 5 to 6 min^{20} . 336



Design-Expert?Software



Fig. 4. Response surface plots (3-D) showing the effects of variables (X_1 : microwave power; X_2 : water/material ratio; X_3 : extraction time) on the response Y_2

337 Fig. 4b showed the three-dimensional (3-D) response 338 surface, which reveal the effect of the water/material ratio and microwave power on the cordycepin yield at the fixed extrac-339 340 tion time of 4 min. The microwave power and water/material 341 ratio both induced a positive quadratic effect on the yield (p <342 0.0001). And the extraction yield of cordycepin increased 343 rapidly within the microwave power from 300-600 W, but when 344 beyond 600 W, the extraction yield of cordycepin reached the 345 plateau region where the yield was maximized and did not 346 increase any more and the yield increased rapidly with the 347 increase of the water/material ratio from 20 to 42.5 mL/g, then 348 dropped slightly from 42.5 to 50 mL/g.

Fig. 4c showed the 3-D response surface plots with varied extraction time and water/material ratios but fixed microwave power (zero level). The yield of cordycepin increased with increased water/material ratio and reached the maximum value when the extraction time and water/material ratio were 5 min and 40 mL/g, respectively. Beyond 5 min and 40 mL/g, the yield of cordycepin decreased.

356 Optimization of extraction parameters: Based on the 357 single-factor experiments, a Box-Behnken design from the 358 response surface methodology was used to optimize the 359 extraction conditions in this work. The extraction conditions 360 were deemed optimum when the yields of cordycepic acid 361 and cordycepin reached the maximum values. Optimization 362 was carried out using Design Expert software (Version 7.0). 363 The values of responses were converted to a desirability 364 function. Most effective extraction parameters for the yields 365 of cordycepic acid and cordycepin at the same time were 366 generated by optimizing the desirability function of the two 367 responses. The optimum zone, in which every point repre-368 sented a combination of extraction parameters that gave the 369 optimum yields for the three dependent variables, was gene-370 rated. According to practical (cost-saving) considerations, the 371 point representing a possible combination of the lowest levels 372 of factors within the optimum zone was preferred over other 373 combinations. From Figs. 3 and 4, it can be concluded that the 374 optimal extraction conditions for cordycepic acid and cordycepin 375 from C. militaris are microwave power of 649.33 W, extraction 376 time of 5.74 min, water/material ratio of 38.99 mL/g. Among

the there extraction parameters that have been studied, microwave power was the most significant factor that affects the yield of cordycepic acid and cordycepin, followed by the water/material ratio and extraction time according to the regression coefficients significance of the quadratic polynomial model (Table-5) and gradient of slope in the 3-D response surface plot (Figs. 3 and 4). 383

Therefore, the point at the microwave power of 649.33 384 W, extraction time of 5.74 min, water/material ratio of 38.99 385 mL/g and three extraction times was considered as the optimum 386 condition. Under this condition, the yields of cordycepic acid 387 and cordycepin were predicted by the RSM models to be 2.47 388 and 0.79 %, respectively. 389

Verification of predicted extraction parameters: To 390 validate the adequacy of the model equation, five verification 391 experiments were carried out to test the suitability of the optimal 392 extracting variables under the optimal conditions. This set of 393 conditions was determined as optimum by the RSM optimi-394 zation approach and were also used to validate experimentally 395 as well as predict the values of the responses using the model 396 equation. The mean values of 3.12 and 0.75 % (n = 5) obtained 397 from real experiments indicated the validation of the RSM 398 model. The experimental values suggested that the regression 399 model was accurate and adequate for the extraction of cordycepic 400 acid and cordycepin. 401

402

Conclusion

The extraction conditions have significant effects on the 403 yields of cordycepic acid and cordycepin. Using contour and 404 surface plots in the RSM was effective for estimating the effect 405 of three independent variables (microwave power, water/ 406 material ratio and extraction time). The optimum set of inde-407 pendent variables was obtained graphically to determine the 408 desired levels of polysaccharide and cordycepin extraction. 409 The optimal experimental yields of 2.47 and 0.79 % were 410 obtained when the optimum conditions of cordycepic acid and 411 cordycepin integrated extraction were as follows: microwave- 412 assisted extraction, 649.33 W microwave power, 5.74 min 413 extraction time, 38.99 mL/g water/material ratio and three 414 extraction times. Under these optimized conditions, the 415 experimental yields of cordycepic acid and cordycepin closely 416 agreed with the predicted yields. The experimental conditions 417 allow a fast and cost-saving process in extraction of cordycepic 418 acid and cordycepin from mycelia. 419

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